

## SUMMARY INSURANCE CERTIFICATE

We, the undersigned, MMA IARD Assurances Mutuelles / MMA IARD certify that :

**DTB WEALTH MANAGEMENT SARL**

**3, RUE DU CLOS DE LA MUSCADELLE - 81150 LAGRAVE**

has taken out a **PROFESSIONAL LIABILITY** insurance policy - N° 114240090

ADHERENT N° 029001 in accordance with articles L. 541-3, D.541-9, L.519-3-4, R.519-16 and L.519-4, R.519-17 of the CODE MONETAIRE ET

FINANCIAL, Articles L. 512-6, R.512-14, A.512-4 and L-512-7, R.512-15, A.512-5 of the CODE DES ASSURANCES as well as Law n° 70-9 of 2 January 1970 and including:

### 1. PROFESSIONAL LIABILITY ACTIVITIES :

- WEALTH MANAGEMENT ADVICE AND RELATED ACTIVITIES SUCH AS MARKETING OF BANKING OR FINANCIAL PRODUCTS - FINANCIAL INVESTMENT ADVICE / CIF (ART.L.541-1 SVT CMF) - CJA - REAL ESTATE AGENT OR INTERMEDIARY (WITHOUT HANDLING OR HOLDING THIRD PARTY FUNDS)

AMOUNT OF COVERAGE: 2.500.000€ per claim and per  
year GENERAL FRANCHISE INCLUDING CIVIL DEFENCE COSTS: 5 000 € per claim

**INCLUDING FOR THE COMMERCIALISATION OF DEFISCALISATION OPERATIONS GIRARDIN: €750,000 per claim and per year SPECIAL FRANCHISE INCLUDING CIVIL DEFENCE COSTS: €10,000 per claim**

- INTERMEDIATION IN BANKING AND PAYMENT SERVICES WITHOUT FUNDS ENTRUSTED (IOBSP)

AMOUNT OF COVERAGE: 2.500.000 € per claim and per  
year GENERAL EXEMPTION INCLUDING CIVIL DEFENCE COSTS: 20% per claim (max 5 000 €)

- PERSONAL INSURANCE BROKERAGE (WITHOUT COLLECTION OF THIRD PARTY FUNDS) - ARBITRATION MANDATE ON LIFE INSURANCE CONTRACTS

AMOUNT OF COVERAGE: 3.000.000€ per claim and per  
year FRANCHISE INCLUDING CIVIL DEFENCES 000 € per claim

### 2. FINANCIAL GUARANTEES

- **ACTIVITY: IOBSP WITHOUT FUNDS IN TRUST**

AMOUNT OF COVERAGE: €115 ,000 per insurance year

- **ACTIVITY: PERSONAL INSURANCE BROKERAGE (WITHOUT COLLECTION OF THIRD PARTY FUNDS)**

AMOUNT OF COVERAGE: €115 ,000 per insurance year

- **ACTIVITY: REAL ESTATE AGENT OR INTERMEDIARY (WITHOUT HANDLING OR HOLDING THIRD PARTY FUNDS)**

AMOUNT OF COVERAGE: €110 ,000 per insurance year

**VALID FROM : 01/01/2023 - TO : 31/12/2023**

*This certificate is valid subject to receipt of the insurance premium.*

**THIS CERTIFICATE SHALL NOT BIND THE INSURER BEYOND THE TERMS AND CONDITIONS OF THE POLICY TO WHICH IT REFERS.**

**TO THE FULLEST EXTENT PERMITTED BY LAW.**

**DONE AT PARIS, 4 JANUARY 2023**

For the Insurer by delegation

BDJ  
Season - 38 rue Mstislav Fostropovitch  
75815 Paris 17  
BCS Paris 476 234 593  
N° Orias 07 001 484 sous le contrôle de l'ACPR

CERTIFICATE OF PROFESSIONAL INDEMNITY  
INSURANCE  
AND FINANCIAL GUARANTEES

We, the undersigned, MMA IARD Assurances Mutuelles / MMA IARD certify that

DTB WEALTH MANAGEMENT SARL  
3, Rue du Clos de la Muscadelle  
81150 LAGRAVE

ORIAS  
NUMBE

has taken out a **PROFESSIONAL LIABILITY** insurance policy in accordance with Articles **L.512-6, R.512-14, A.512-4 and L.512-7, R.512-15, A.512-5** of the Insurance Code, **L.541-3, D.541-9, L.519-3-4, R.519-16 and L.519-4, R.519-17** of the Code Monétaire et Financier, **N° 114240090 - MEMBER N° 029001** and including :

**1- PROFESSIONAL LIABILITY**

✚ **ACTIVITY: INSURANCE BROKERAGE** (of Persons) (WITHOUT COLLECTION OF THIRD PARTY FUNDS)

**Amount of cover:** €3,000,000 per claim and €3,000,000 per year  
Excess per claim including civil defence costs €5,000 per claim

✚ **ACTIVITY: INTERMEDIARY IN BANKING AND PAYMENT SERVICES without funds entrusted (IOBSP)**

**Amount of cover:** €2,500,000 per claim and €2,500,000 per year  
Excess per claim including civil defence costs 20% per claim (max. €5,000)

✚ **ACTIVITY: FINANCIAL INVESTMENT ADVISOR (FIA)**

**Amount of cover:** €2,500,000 per claim and €2,500,000 per year  
Excess per claim including civil defence costs €5,000 per claim

**2- FINANCIAL GUARANTEES**

\* **Personal insurance brokerage activity (without collection of third party funds)**

**Amount of cover:** €115,000 per insurance year

\* **Activity of Intermediary in Banking and Payment Services without entrusted funds (IOBSP)**

**Amount of cover:** €115,000 per insurance year

VALID FROM : **01/01/2023 - TO : 29/02/2024**

*This certificate is valid subject to receipt of the insurance premium.*

THIS CERTIFICATE SHALL NOT BIND THE INSURER BEYOND THE TERMS AND CONDITIONS OF THE POLICY TO WHICH IT REFERS.

TO THE FULLEST EXTENT PERMITTED BY LAW.

DONE AT PARIS, 4 JANUARY 2023

For the Insurer by delegation

BDJ  
Season - 38 rue Mstislav Fostropovitch  
75815 Paris 17  
BCS Paris 476 274 563  
N° Orias 07 001 484 sous le contrôle de l'ACPR

**CERTIFICATE OF PROFESSIONAL INDEMNITY  
INSURANCE**

(Law n° 70-9 of 2 January 1970 and Decree n° 72-678 of 20 July 1972)

We, the undersigned, MMA IARD Assurances Mutuelles / MMA IARD, certify that the insured named below has taken out an insurance policy on his behalf with cover at least equivalent to that provided for in the decree of 1 September 1972.

**INSURED :**

**- Natural person :**

surname, first name (see heading

l): business address :

**OR**

**- Legal entity :**

company name : **DTB WEALTH MANAGEMENT**

head office : **3, Rue du Clos de la Muscadelle - 81150 LAGRAVE**

legal form : **SARL**

surname, first name and capacity of the legal representative(s) (see section II): **Mr Daniel BUTCHER, manager**

**PROFESSIONAL ACTIVITY**

**TRANSACTION ON REAL ESTATE AND GOODWILL**

**GUARANTEE :**

**WITHOUT HANDLING OR HOLDING THIRD PARTY FUNDS**

**POLICY NO: 114240090 -ADHERENT NO.: 029001**

**VALID FROM : 01/01/2023 -TO : 31/12/2023**

*This certificate is valid subject to receipt of the insurance premium.*

**THIS CERTIFICATE SHALL NOT BIND THE INSURER BEYOND THE TERMS AND CONDITIONS OF THE POLICY TO WHICH IT REFERS.**

**TO THE FULLEST EXTENT PERMITTED BY LAW.**

**DONE AT PARIS, 4 JANUARY 2023**

For the Insurer by delegation

BDJ  
Season - 38 rue Mstislav Fostropovitch  
75815 Paris 17  
RCS Paris 476 274 593  
N° Orléans 07 001 484 sous le contrôle de l'ACPR

**CERTIFICATE OF  
FINANCIAL  
GUARANTEE**

(Decree No. 72-678 of 20 July 1972, amended by Decree 2010 - 1707 of 30 December 2010)

We, the undersigned MMA IARD Assurances Mutuelles / MMA IARD, hereby certify that a financial guarantee in the amount of : 110,0 0 0 per insurance year has been given by it to :

INSURED :

- Natural person :

surname, first name (see section

II): business address :

OR

- Legal entity :

company name: **DTB WEALTH MANAGEMENT**

head office : **3, Rue du Clos de la Muscadelle - 81150 LAGRAVE**

legal form : **SARL**

surname, first name and capacity of the legal representative(s) (see section II): **Mr Daniel BUTCHER, manager**

PROFESSIONAL ACTIVITY

**TRANSACTION ON REAL ESTATE AND GOODWILL**

GUARANTEE :

**WITHOUT HANDLING OR HOLDING THIRD PARTY FUNDS**

**POLICY NUMBER: 114240090 - MEMBER N°: 029001**

**VALID FROM: 01/01/2023 -TO: 31/12/2023**

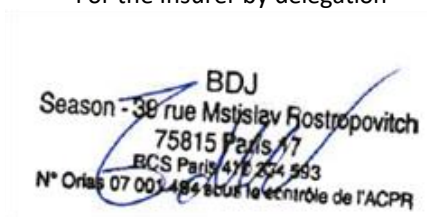
*This certificate is valid subject to receipt of the insurance premium.*

**THIS CERTIFICATE SHALL NOT BIND THE INSURER BEYOND THE TERMS AND CONDITIONS OF THE POLICY TO WHICH IT REFERS.**

**TO THE FULLEST EXTENT PERMITTED BY LAW.**

**DONE AT PARIS, 4 JANUARY 2023**

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